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jc882 U.S. PTO
05/25/01



27123

PATENT TRADEMARK OFFICE

Docket No. 1151-4167
Express Mail No. EF308 382 003US

AFFIX CUSTOMER NO. LABEL ABOVE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

JC971 U.S. PTO
09/865294
05/25/01

COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- (1) Chang Yi Wang
47 Snake Hill Road, Cold Spring Harbor, NY 11724

☐ Additional inventors are listed on a separate sheet

For: SYNTHETIC PEPTIDE COMPOSITION FOR THE PREVENTION OF ALZHEIMERS DISEASE

Enclosed Are:

- 62 page(s) of specification
- 1 page(s) of Abstract
- 12 page(s) of claims
- 2 sheets of X Formal ☐ Informal drawings

6 page(s) of Declaration and Power of Attorney

- ☐ Unsigned
- X Newly Executed
- ☐ Copy from prior application
- ☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☐ **REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i) (form PTO/SB/35)**

As indicated on the attached Request and Certification, Applicant(s) certify that the invention disclosed in the attached application HAS NOT and WILL NOT be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant(s) therefore request(s) that the attached application NOT be published under 35 U.S.C. §122(b).

- ☐ **Incorporation by Reference:**
- ☐ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.
- ☐ Deletion of Inventors (37 C.F.R. §1.63(d) and §1.33(b))
- Signed statement attached deleting inventor(s) named in the prior application serial no. _____, filed _____.
- ☐ Microfiche Computer Program (Appendix)
- X 17 page(s) of Sequence Listing
 X computer readable disk containing Sequence Listing
 X Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☐ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment
☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4503, Order No. _____.
☐ Assignment Papers filed in the parent application Serial No. _____
- ☐ Certification of chain of title pursuant to 37 C.F.R. §3.73(b)
- ☐ Priority is claimed under 35 U.S.C. §119 for:
 Application No(s). _____, filed _____, in _____ (country).
- ☐ Certified Copy of Priority Document(s) [_____]
☐ filed herewith
☐ filed in application Serial No. _____, filed _____.
- ☐ English translation document(s) [_____]
☐ filed herewith
☐ filed in application Serial No. _____, filed _____.
- ☐ Priority is claimed under 35 U.S.C. §119(e) for:
 Provisional Application No. _____, filed _____.
- ☐ Information Disclosure Statement
- ☐ Copy of [_____] cited references
☐ PTO Form-1449
☐ References cited in parent application Serial No. _____, filed _____.
- ☐ Related Case Statement under 37 C.F.R. §1.198(a)(2)(iii)
- ☐ A copy of related pending U.S. Application(s) Serial No(s): _____, filed _____, respectively, is attached hereto.
☐ A copy of related pending U.S. Application(s) entitled, _____, filed _____ to inventor(s) _____, respectively, is attached hereto.
☐ A copy of each related application(s) was submitted in parent application serial no. _____, filed _____.
- ☐ Preliminary Amendment

- ☐ Return receipt postcard (MPEP 503)
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part of prior application serial no. ___, filed ___, to which priority under 35 U.S.C. §120 is claimed.
- ☐ Cancel in this application original claims ___ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application).
- ☐ The status of the parent application is as follows:
- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until ___.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☐ Amend the specification by inserting before the first line the sentence:
This is a continuation of co-pending application Serial No. ___, filed ___.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$710.00/355.00
Total Claims	108- 20 =	88x	\$18.00/\$9.00	\$ 1,584.00
Independent Claims	5- 3 =	2x	\$80.00/\$40.00	\$ 160.00
X Multiple Dependent Claims		If marked, add fee of \$270.00 (\$135.00)		\$ 270.00
TOTAL:				\$ 2,724.00

X Small entity status is hereby claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$1,362.00.


☐ A check in the amount of \$ _____ in payment of the application filing fees is attached.

- X Charge fee to Deposit Account No. 13-4500 Order No. 1151-4167. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency with the parent application, or credit any overpayment to Deposit Account No. 13-4500 Order No. 1151-4167. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: May 25, 2001

By:


Maria C.H. Lin
Registration No. 29,323
212-758-4800 Telephone
212-751-6849 Facsimile

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154

1151-4167

Patent Application Bibliographic Data

Inventor Information

Inventor One Given Name :: Chang Yi
Family Name :: Wang
Postal Address :: 47 Snake Hill Road
City :: Cold Spring Harbor
State/Province :: New York
Country :: United States
Postal or Zip Code :: 11724
City of Residence :: Cold Spring Harbor
State/Prov. of Residence :: New York
Country of Residence :: United States
Citizenship :: USA

Correspondence Information

Name Line One :: Morgan & Finnegan L.L.P
Name Line Two :: Maria C.H. Lin
Address Line One :: 345 Park Avenue
City :: New York
State/Province :: New York
Country :: United States
Postal or Zip Code :: 10154-0053

0966534-0001

Telephone : (212) 415-8745

Fax : (212) 751-6849

E-Mail :: mclin@morganfinnegan.com

Representative Information

Registration Number One :: 29,323

Application Information

Title :: IMMUNOGENIC PEPTIDE COMPOSITION FOR THE PREVENTION AND TREATMENT OF ALZHEIMERS DISEASE

Formal Drawings ::	Yes
Application Type ::	Patent
Docket Number ::	1151-4167

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Chang Yi Wang Group Art Unit: To be Assigned
Serial No. : To Be Assigned Examiner: To be Assigned
Filed : May 25, 2001
For : **SYNTHETIC PEPTIDE COMPOSITION FOR THE PREVENTION OF
ALZHEIMERS DISEASE**

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EF 308 382 003US

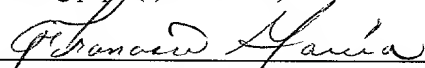
Date of Deposit May 25, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Fee Transmittal (in duplicate);
2. Patent Application (Specification of 62 pages, 12 pages of claims (1-80), 2 sheets of drawings; and 1 page Abstract);
3. Patent Application Bibliography Sheet;
4. Executed Combined Declaration and Power of Attorney;
5. Statement Under 37 CFR§ 1.821(f);
6. One Computer Readable Diskette (ASCII);and
7. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Francisco Garcia
(Typed or printed name of person
mailing paper(s) and/or fee)


(Signature of person mailing
paper(s) and/or fee)

CORRESPONDENCE ADDRESS:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

